

Blackmoor Risk Register

		PRE-MITIGATION														POST-MITIGATION																							
		QUALITATIVE ASSESSMENT										QUANTITATIVE ASSESSMENT				QUALITATIVE ASSESSMENT										QUANTITATIVE ASSESSMENT													
												Total EV £ 1,128,963														Total EV £ 566,104													
ID	Type	Risk	Cause	Consequences	Contractual Risk Owner	Proximity	Likelihood	Cost Impact	Schedule Impact	Reputational Impact	Score	Likelihood (%)	Min (€)	Most Likely (€)	Max (€)	EV Impact	EV	Mitigation Response	Mitigation Actions	Action Owner	Target Completion Date	Action Status	Likelihood (%)	Cost Impact	Schedule Impact	Reputational Impact	Score	Likelihood (%)	Min (€)	Most Likely (€)	Max (€)	EV Impact	EV	Justification	Risk Status	Date Raised	Last Update	Escalate to Package Level Report?	Notes / Key Changes
1	Environmental	There could be contaminated land where the proposed risk road will be built	This will be unforeseen unless a Geotechnical Ground Investigations at Blackmoor is commissioned	The scheme will have potential to have major cost and programme implications	BaD	Detailed Design	4. Likely	4. High	3. Moderate	2. Low	16	62.5%	£215,000	£322,500	£430,000	£322,500	£201,563	Geotechnical surveys to be undertaken to establish ground conditions which will inform the design	Jon Higgins	01-Aug-19	Open	2. Unlikely	2. Low	2. Low	2. Low	4	12.5%	£22,000	£54,000	£86,000	£54,000	£6,750	Open		11-Oct-19		All Geotechnical Ground Condition surveys have been undertaken, results so far show that any contaminated land. There are still some outstanding results to be reviewed, however, it is deemed the scheme won't be impacted by contaminated land.		
2	Project Management	Capacity of existing BwD Framework undertake the scheme.	Whether there will be enough interest from the existing contractors on the framework	Potential delays to procurement process or extended procurement timescales.	BaD	Procurement	2. Unlikely	2. Low	2. Low	2. Low	4	12.5%	£22,000	£54,000	£86,000	£54,000	£6,750	Work with BwD PMO and provide programme updates. Potential engagement with the framework in advance.	Tim Murphy	01-Aug-19	Open	1. Very Unlikely	2. Low	2. Low	2. Low	2	2.5%	£22,000	£54,000	£86,000	£54,000	£1,350	Open		11-Oct-19		Mrs Ciffe held a meeting with the Framework contractors which was reported as positive.		
3	Stakeholder Management/Comms	Stakeholder consultation exercises for the end of November 2019 to discuss the detailed design.	Key stakeholders aren't happy with the design proposals	Detailed design requires finalising prior to any consultation taking place. Potential for negative publicity from local businesses and residents.	CAPITA	Detailed Design	4. Likely	3. Moderate	3. Moderate	3. Moderate	0	0.0%	£86,000	£150,500	£215,000	£150,500		Gateway Review with Design Freeze to be scheduled. Mitigation of bad publicity through consultation even promoting the scheme benefits.	Ben Chapman	08-Sep-19	Closed	1. Very Unlikely	0. None	0. None	0. None	0	0.0%	£0	£0	£0	£0		Archived		11-Oct-19				
4	Technical	Cost for the unknown Statutory undertakers, potential diversion works as part of the scheme i.e. ENW, United Utilities, Virgin Media, BT etc.	Stats will need diverting in order for the scheme to go ahead	Existing services will need to be diverted on a Blackmoor, the costs associated with this have the potential to be quite high.	BaD	Detailed Design	6. Issue	5. Severe	4. High	3. Moderate	30	100.0%	£430,000	£645,000	£860,000	£645,000	£645,000	Review following receipt of the CA's to assess final diversion costs	Stephen Greenhalgh	29-Oct-19	Open	4. Likely	4. High	2. Low	2. Low	16	62.5%	£215,000	£322,500	£430,000	£322,500	£201,563	Open		11-Oct-19		We have now received CA Estimates for over half of the stat diversions which has decreased from the original CA Quotes received. Update to be provided following receipt of all CA Estimates.		
5	Stakeholder Management/Comms	Stakeholder aspirations/scope creep - Scope changes may be requested internally or by external stakeholders		Additional design and construction costs, delays to schedule should stakeholder requirements require substantial design amendments.			4. Likely	4. High	3. Moderate	5. Severe	0	0.0%	£215,000	£322,500	£430,000	£322,500		Ensure communication strategy well defined and agreed with stakeholders. Ensure that the scope is clearly defined and communicated with the stakeholders and obtain their buy in early on. Ensure that there is regular and on-going liaison with stakeholders. Ensure stakeholder communication plan is in place and followed through			Open	3. Possibly	4. High	2. Low	4. High	0	0.0%	£215,000	£322,500	£430,000	£322,500		Archived		10-Oct-19		10/10/19 - stakeholder consultation has taken place throughout design process in order to prevent negative publicity		
6	Stakeholder Management/Comms	The impact of consultation under the Disability Equality Duty (DED) is unknown until consultation is underway. Risk that DED consultation may severely impact on design proposals and significant changes may be required to address their comments		Reputation affected - Bad press, legal proceedings and financial penalties, additional works post completion. Delay in programme to allow comments to be addressed.			3. Possibly	3. Moderate	3. Moderate	3. Moderate	0	0.0%	£86,000	£150,500	£215,000	£150,500		Ensure current proposals and benefits are communicated effectively to Disability Forums			Open	2. Unlikely	2. Low	2. Low	2. Low	0	0.0%	£22,000	£54,000	£86,000	£54,000		Archived		24-Sep-19		10/10/19 - proposals and benefits have been communicated as necessary		
7	Technical	Gateway approvals may take longer or be more onerous than anticipated additional approvals are identified during the design process delaying detailed design process		Delay securing gateway approvals may affect the delivery programme, design completion, and contract award date.			4. Likely	4. High	5. Severe	3. Moderate	0	0.0%	£215,000	£322,500	£430,000	£322,500		Ensure requirement is fully understood prior to submission and submission meets and addresses the requirement. Ensure that approvals process is understood and communicates with team as required.			Open	3. Possibly	3. Moderate	3. Moderate	2. Low	0	0.0%	£86,000	£150,500	£215,000	£150,500		Archived		24-Sep-19		10/10/19 - detailed design gateway approval has been obtained.		
8	Contractual	Risk that final tender price from preferred contractor exceeds project budget.	The preferred contractors capacity might already be stretched leading the contractors to not want to win the work.	cost increase may lead to re-tender and overall schedule delays	BaD	Procurement	2. Unlikely	3. Moderate	5. Severe	4. High	0	0.0%	£86,000	£150,500	£215,000	£150,500		Ensure procurement process is robust and any assumptions/exclusions and omissions are clearly stated in the cost plan.	Tim Murphy	01-Aug-19	Open	2. Unlikely	2. Low	2. Low	3. Moderate	0	0.0%	£22,000	£54,000	£86,000	£54,000		Archived		11-Oct-19				
9	Project Management	LEP Business Case		Approvals and submission dates are becoming a risk to the programme which could impact by delaying the scheme.	BaD	Business Case Development	3. Possibly	3. Moderate	5. Severe	3. Moderate	15	35.0%	£86,000	£150,500	£215,000	£150,500	£32,875	Continuous engagement with the LEP and Jacobs providing them with an insight into our programme.	Mike Cliffe/Walter Appinal	30-Oct-19	Open	2. Unlikely	2. Low	2. Low	2. Low	4	12.5%	£20,000	£54,000	£80,200	£51,400	£6,425	Open		11-Oct-19				
10	Technical	Queries surrounding existing drainage system	Limited knowledge from Local Authority	Drainage System won't be suitable for the project	BaD	Detailed Design	6. Issue	3. Moderate	4. High	2. Low	24	100.0%	£86,000	£150,500	£215,000	£150,500	£150,500	CCTV Drainage Survey to be undertaken. Discussions with United Utilities to be held	Stephen Greenhalgh	30-Oct-19	Open	6. Issue	4. High	2. Low	2. Low	24	100.0%	£90,000	£220,000	£400,000	£236,667	£236,667	Open		11-Oct-19				
11	Technical	Objections to the Traffic Regulation Orders	Insufficient consultation / consultation period	Legally Legal Process	BaD	Detailed Design	3. Possibly	2. Low	4. High	2. Low	12	35.0%	£22,000	£54,000	£86,000	£54,000	£18,900	Continual communication with the Local Authority	Alan Nemejce	30-Oct-19	Open	2. Unlikely	1. Negligible	3. Moderate	2. Low	6	12.5%	£0	£11,000	£22,000	£11,000	£1,375	Open		11-Oct-19				
12	Technical	Signage Locations clash with statutory undertakers	Late receipt of Signage information	Renovation of signs. Damage to statutory undertakers equipment and costs incurred.	BaD	Detailed Design	3. Possibly	3. Moderate	4. High	2. Low	12	35.0%	£86,000	£150,500	£215,000	£150,500	£32,875	Information regarding the signage to be issued to Capita Design Team from BaD as soon as possible.	Rebekah Pittard	30-Oct-19	Open	3. Possibly	3. Moderate	3. Moderate	2. Low	9	35.0%	£80,000	£150,500	£215,000	£148,500	£51,975	Open		11-Oct-19				